

Curriculum Vitae

DR. SIMON ZABY

Mahidol University, College of Management

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Academic Positions

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| Since | 04 / 2016 | MAHIDOL UNIVERSITY, COLLEGE OF MANAGEMENT ➤ Full-Time Faculty, International Program |
| Since | 03 / 2017 | MAHIDOL UNIVERSITY, COLLEGE OF MANAGEMENT ➤ Program Chair of Corporate Finance and Entrepreneurship Management |
| 02 / 2016 | 09 / 2016 | UNIVERSITY OF BASEL, FACULTY OF BUSINESS AND ECONOMICS (SWITZERLAND) HENRI B. MEIER DEPARTMENT OF FINANCIAL MANAGEMENT ➤ Interim Chair; Lecturer in Corporate Finance, Capital Expenditure Budgeting and Business Valuation |
| 05 / 2010 | – 03 / 2016 | UNIVERSITY OF BASEL, FACULTY OF BUSINESS AND ECONOMICS HENRI B. MEIER DEPARTMENT OF FINANCIAL MANAGEMENT ➤ Postdoctoral Researcher |
| Since | 02 / 2014 | UNIVERSITY OF ST. GALLEN (HSG) (SWITZERLAND) MASTER IN BUSINESS MANAGEMENT ➤ Associate Lecturer in Strategic Corporate Finance |
| 08 / 2013 | 02 / 2016 | UNIVERSITY OF ST. GALLEN (HSG) MASTER IN LAW AND ECONOMICS ➤ Associate Lecturer in Corporate Finance |
| 02 / 2012 | – 07 / 2012 | UNIVERSITY OF BASEL, FACULTY OF BUSINESS AND ECONOMICS HENRI B. MEIER DEPARTMENT OF FINANCIAL MANAGEMENT ➤ Interim Chair; Lecturer in Capital Expenditure Budgeting and Business Valuation |
| 08 / 2010 | – 01 / 2016 | UNIVERSITY OF BASEL, FACULTY OF BUSINESS AND ECONOMICS DEPARTMENT OF CONTROLLING AND ACCOUNTING ➤ Lecturer in Financial Accounting |
| 05 / 2006 | – 12 / 2009 | UNIVERSITY OF BASEL, FACULTY OF BUSINESS AND ECONOMICS DEPARTMENT OF BANKING MANAGEMENT AND CONTROLLING ➤ Research and Teaching Assistant ➤ Exercise Instructor in Banking Management, Value Controlling, Management Accounting, International Accounting Standards, Capital Expenditure Budgeting and Business Valuation, Financial Accounting, Introduction to Business Administration; Mentoring of Master's Theses |
| 10 / 2002 | – 08 / 2003 | CATHOLIC UNIVERSITY OF EICHSTAETT-INGOLSTADT, INGOLSTADT SCHOOL OF BUSINESS (GERMANY) DEPARTMENT OF STATISTICS AND QUANTITATIVE METHODS ➤ Tutor in Statistics |

Self-Employed Professional Activities

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| Since | 12 / 2011 | EXPERT IN CORPORATE FINANCE AND THE FOUNDATION OF ENTERPRISES ➤ Speaker, Author of Studies |
| 05 / 2013 | – 04 / 2014 | BASEL INVESTOR ADVISORS (BIA), INC. (SWITZERLAND) Consulting Firm for Investment Activities ➤ Co-Founder, Managing Director |
| Since | 04 / 1994 | ZABY BAUMASCHINEN GMBH & CO., FRIEDBERG/BAVARIA (GERMANY) (ZABY CONSTRUCTION MACHINERY, LTD.) ➤ Participation in the Family Enterprise |

Academic Freelance Positions (Selection)

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| 11 / 2012 | – | 11 / 2014 | LUCERNE UNIVERSITY OF APPLIED SCIENCES AND ARTS (SWITZERLAND), SWISS PRIVATE EQUITY & CORPORATE FINANCE ASSOCIATION (SECA) ➤ Expert in Recent Developments of the Venture Capital Market (SECA Private Equity Workshops) |
| 02 / 2012 | – | 04 / 2014 | UNIVERSITY OF BASEL, CENTER FOR ECONOMIC SCIENCES MAS MARKETING MANAGEMENT (Extra-Occupational Master Studies) ➤ Lecturer in Financial Aspects of Enterprises |
| 01 / 2012 | – | 01 / 2016 | UNIVERSITY OF ST. GALLEN (HSG) DEPARTMENT OF ACCOUNTING, CONTROLLING AND AUDITING ➤ Lecturer in Internal Auditing (Certified Internal Auditor Seminars) |
| 02 / 2011 | – | 11 / 2014 | UNIVERSITY OF BASEL, CENTER FOR ECONOMIC SCIENCES CENTER FOR PHILANTHROPY STUDIES ➤ Lecturer in Financial Management and Planning in NPOs (Vocational Training) |
| 04 / 2007 | – | 08 / 2013 | KALAIOS UNIVERSITY OF APPLIED SCIENCES SWITZERLAND, ZURICH DEPARTMENT OF BUSINESS AND MANAGEMENT ➤ Lecturer in Risk Management and Profitability Management ➤ Mentoring and Assessment of Bachelor's Theses |
| Since | – | 01 / 2007 | AKAD SCIENTIFIC ACADEMY, LAHR (GERMANY) DEPARTMENT OF BUSINESS ADMINISTRATION / FINANCE AND BANKING ➤ Expert in Risk Management and Profitability Management |
| 01 / 2007 | – | 10 / 2010 | GRADUATE SCHOOL OF PUBLIC AND ECONOMIC MANAGEMENT, FREIBURG (GERMANY) ➤ Examiner in Business Administration ➤ Lecturer in Balance Sheet Analysis, Accounting and Accounting Policy, Capital Expenditure Budgeting |
| 10 / 2006 | – | 10 / 2015 | GERMAN REAL ESTATE ACADEMY AT THE UNIVERSITY OF FREIBURG ➤ Examiner and Lecturer in Business Administration |
| 10 / 2006 | – | 10 / 2010 | CHAMBER OF INDUSTRY AND COMMERCE SOUTHERN UPPER-RHINE (GERMANY) ➤ Examiner and Lecturer in Business Administration |
| 10 / 2006 | – | 11 / 2009 | COMMERCIAL SCHOOL, COMMERCIAL ASSOCIATION BASEL-STADT (SWITZERLAND) HIGHER COLLEGE OF BANKING AND FINANCE, CADRE EDUCATION ➤ Lecturer in Banking and Finance (Vocational Training) |
| 09 / 2006 | – | 12 / 2011 | SWISS ORGANIZING INSTITUTION OF PROFESSIONAL AND QUALIFYING EXAMINATIONS IN BANKING, ACTUARIAL SCIENCE AND FINANCIAL BUDGETING ➤ Expert and Examiner in Banking and Finance |

Education

UNIVERSITY OF BASEL, FACULTY OF BUSINESS AND ECONOMICS

- Ph.D. in Business and Economics; Degree: «Dr. rer. pol.» (Ph.D.; 2009)
- Doctoral Thesis: «Venture Capital for the Foundation of Innovative Start-Ups — Fundamental for Value-Adding Economic Growth in Switzerland»

CATHOLIC UNIVERSITY OF EICHSTAETT-INGOLSTADT, INGOLSTADT SCHOOL OF BUSINESS (GERMANY)

- Graduate Studies of Business Administration; Degree: «Diplom-Kaufmann Univ.» (MBA Equivalent; 2006)
- Diploma Thesis: «New Developments in International Tax Law»
- Majors: Financial Management and Banking Management, Business Taxation, Controlling and Accounting, Statistics and Quantitative Methods

EWHA WOMANS UNIVERSITY, GRADUATE SCHOOL OF INTERNATIONAL STUDIES, SEOUL (KOREA)

- Studies Abroad (2004)

SOGANG UNIVERSITY, GRADUATE SCHOOL OF INTERNATIONAL STUDIES, SEOUL (KOREA)

- Studies Abroad (2003 – 2004)

Internships

Various Internships e.g. at ERNST & YOUNG, LTD. (GERMANY); KARSTADT QUELLE, LTD., BANGKOK (THAILAND); KARSTADT QUELLE LIAISON OFFICE, DHAKA (BANGLADESH); BMW GROUP, SEOUL (KOREA); INTRACAMION SPEDITION CONSULTING, MOSCOW (RUSSIA)

Military Service

GERMAN AIR FORCE (1999 – 2000): Human Resources Department; Basic Training

Publications (Selection)

- Abdullah, S. M., & Zaby, S. (2020). Categorization of Secondary Equity Offerings and its Effect on Share Prices: Evidence from Thailand. *2020 International Conference on Business Management, Humanities and Social Science (ICBMHSS), Dhaka*.
- Poromatikul, C., De Maeyer, P., Leelapanyalert, K., & Zaby, S.* (2020). Drivers of Continuance Intention with Mobile Banking Apps. *International Journal of Bank Marketing*, 38(1), 242-262.
- Zaby, S. (2019). Science Mapping of the Global Knowledge Base on Microfinance: Influential Authors and Documents, 1989–2019. *Sustainability*, 11(14), 3883.
- Zaby, S.*, & Pohl, M. (2019). The Management of Reputational Risks in Banks: Findings from Switzerland and Germany. *SAGE Open*, 9(3), 1-15.
- Zaby, S., & Phoopatana, T. (2018). Financial Institutions and Reputational Risk Management: A Holistic Approach. *2018 International Conference of Asia Marketing Association, Bangkok*.
- Zaby, S. (2018). Working Capital Management of an International Online Book Trading Start-up. *Journal of the International Academy for Case Studies*, 24(3).
- Zaby, S. (2017). Innovative Start-ups and Young Entrepreneurs: Definition of Venture Capital and Findings from Switzerland. *Risk Governance & Control: Financial Markets & Institutions*, 7(1), 75-81.
- Zaby, S., & Pohl, M. (2017). *The Management of Reputational Risks in Banks*. Working Paper.
- Zaby, S. (2016). *Venture Capital: Potent Catalyst for the US economy*. Swiss Venture Capital Report.
- Gantenbein, P., & Zaby, S. (2014). *Credit Cycles and Real Estate Cycles*. P. Gantenbein, S. Westerfeld & B. Wullschleger (Eds.). Newcastle: Cambridge Scholars.
- Dela Rama, M., Volonté, C., & Zaby, S. (2014). *Ceteris Paribus: Corporate Governance Practices in the Philippines and Switzerland*. S. Boubaker & D. K. Nguyen (Eds.). Berlin: Springer.
- Zaby, S. (2013). *Fund for the Future of Switzerland – Action Plan for the Preservation of National Prosperity* (in German). Basel.
- Volonté, C., & Zaby, S.* (2013). Proxy Advisors: A Critical Analysis. *Corporate Ownership & Control*, 11(1), 857-863.
- Gantenbein, P., Volonté, C., & Zaby, S. (2013). *Evaluation of a Seed Financing Program of the Swiss Government* (in German). Study for the Swiss Confederation, The Federal Department of Economic Affairs, Education and Research, State Secretariat for Economic Affairs SECO, Authority for Location Promotion, SME Politics, Berne.
- Schierenbeck, H., & Zaby, S. (2013). «Mystery Bank Calculation» — *The Sources of Success in Banking* (in German). R. Hoelscher & T. Altenhain (Eds.). Berlin: Springer.
- Pohl, M., & Zaby, S. (2012). *Accounting of Real Estate in HGB and IFRS/IAS* (in German). G. Seicht (Ed.). Vienna: LexisNexis.
- Herold, N., & Zaby, S. (2012). *Rising Venture Capital Opportunities for Medtech Startups*. The Swiss Medical Technology Industry 2012 Survey — «In the Wake of the Storm». Berne.
- Pohl, M., & Zaby, S. (2011). *The Leverage Ratio as an Instrument of Banking Regulation — a Critical Analysis* (in German). G. Seicht (Ed.). Vienna: LexisNexis.
- Gantenbein, P., Herold, N., & Zaby, S. (2011). *The CTI Start-Up Promotion for Innovative Swiss Start-Ups — An Empirical Comparison of Labelled and Non-Labelled Companies* (in German). WWZ Study for CTI Start-Up, Basel.
- Weder, R., Gantenbein, P., Zaby, S., & Rutzer, C. (2010). *Relevance of the Banking Area Basel* (in German). WWZ Studies 03/10.
- Zaby, S. (2010). *Venture Capital — Prerequisite for Technological Progress and Fundament for Swiss Economic Growth* (in German). SECA Booklet No. 7, Zug.
- Zaby, S. (2009). *Venture Capital for the Foundation of Innovative Start-Ups — Fundamental for Value-Adding Economic Growth in Switzerland* (in German). Dissertation University of Basel.
- Pohl, M., & Zaby, S. (2009). *Venture Capital Financing in Europe* (in German). WWZ Research Report 01/09, Basel.
- Pohl, M., & Zaby, S. (2009). *Reputation Risk Management in Banks* (in German). WWZ Research Report 01/08, Basel.

Pohl, M., & Zaby, S. (2008). *Status of Corporate Reputation Management. Clues from a Survey* (in German). WWZ News, No. 31, January 2008, 25-27.

Pohl, M., & Zaby, S. (2007). *Analysis of Open Product and Services Architectures in the Investment Fund Business* (in German). WWZ Research Report 08/07, Basel.

Djanani, C., & Braehler, G. (2006). *International Tax Law* (in German). Published in collaboration with Zaby, S., Wiesbaden: Gabler.

Speeches (Selection)

Fund for the Future of Switzerland; Keynote Speech at the 9th EY Life Science Day, Munich (Germany), 15th April 2015.

Effects of Basel III on the Mortgage Business; Experts Panel for Mortgage Management: Current Developments and Challenges in the Mortgage Business, Zurich (Switzerland), 24th October 2014.

Misallocation of the Swiss Finance Market; Swiss Pensions Conference, CFA Society Switzerland, Rueschlikon, 5th June 2014; Keynote Speech at the Swiss International Air Lines Pensions Funds Strategy Seminar, Thalwil, 2nd December 2013.

Conferences (Selection)

Co-Organizer and Session Chair, Second International Conference on Credit Analysis and Risk Management, Basel Workshop of Credit Risk, 5th to 6th September 2013.

Other

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| Foreign Languages | English (Fluent); French (Basics); Korean (Basics) |
| Best Lecturer Award «RealWWZ» University of Basel | Spring Semester 2016: 1 st Place Fall Semester 2014: 2 nd Place; Spring Semester 2014: 3 rd Place; Fall Semester 2013: 1 st Place; Spring Semester 2012: 2 nd Place |
| Teaching Excellence Award University of Basel | Nominated Spring Semester 2016 |
| Teaching Excellence Award College of Management, Mahidol University | 2019 |
| Private Interests | International Politics; Korean Language; Etymology; Cooking; Sports |